

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alastair J.D. Beadle, et al.

Serial No.: 10/716,304

Filing Date: November 18, 2003

Art Unit: 3694

Confirmation No.: 6922

Examiner: Daniel S. Felten

Title: *SYSTEM AND METHOD FOR MANAGING
RELATIONSHIPS BETWEEN BROKERS AND TRADERS*

MAIL STOP AMENDMENT
Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the reference listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. A copy of the Examination Report is enclosed for the convenience of the Examiner.

Pursuant to 37 C.F.R. §§ 1.97(g) and (h), Applicants make no representation that this document qualifies as prior art or that this document is material to patentability of the present application or that a search has been made.

The item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

REMARKS

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/ mailing** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.
Attorneys for Applicants



Samir A. Bhavsar
Reg. No. 41,617

Date: December 7, 2007

Correspondence Address:

Customer No. **05073**